### 1 Original Research Article

- 2 Working title: Allogeneic CAR T Cell Products Cemacabtagene Ansegedleucel/ALLO-501 in
- 3 Relapsed/Refractory Large B-Cell Lymphoma: Phase 1 Experience From the ALPHA2/ALPHA Clinical
- 4 Studies
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### 38 **Context Summary**

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### 40 Key Objective

- 41 Can off-the-shelf, allogeneic CD19 CAR T product be feasibly and safely administered to patients with
- 42 relapsed/refractory LBCL while yielding durable response rates?

### 43 Knowledge Generated

- 44 Treatment with cemacabtagene ansegedleucel and its predecessor, ALLO-501, was given after
- 45 lymphodepletion was initiated 2 days post-enrollment (median) and induced durable CRs in heavily
- 46 pretreated, CAR T-naïve patients with LBCL. Allogeneic CAR T cell expansion and persistence were
- 47 supported by an enhanced lymphodepletion regimen that included ALLO-647, an anti-CD52 antibody,
- 48 and standard fludarabine/cyclophosphamide. Treatment was well tolerated (no grade ≥3 CRS; no
- 49 ICANS/GvHD); incidence of infections and immune reconstitution was similar to that expected following
- 50 autologous CD19 CAR T.

### 51 Relevance

- 52 [To be provided by the journal editor]
- 53

### 54 ABSTRACT

### 55 Word count: 239/275

56 **Purpose:** Off-the-shelf, allogeneic CD19 chimeric antigen receptor (CAR) T cell products may improve

57 access to treatment versus autologous ones. We report the phase 1 experience of the allogeneic CD19

58 CAR T-cell product cemacabtagene ansegedleucel (cema-cel) and its predecessor, ALLO-501, in CD19

59 CAR T-naive patients with relapsed/refractory large B-cell lymphoma (R/R LBCL).

60 Patients and Methods: In the ALPHA2/ALPHA studies, safety and efficacy of allogeneic CD19 CAR T cells

61 were evaluated in CD19 CAR T treatment-naive patients with R/R LBCL. Patients received healthy donor-

62 derived, human leukocyte antigen–unmatched cema-cel/ALLO-501 following a 3-day lymphodepletion

regimen of fludarabine (30 mg/m<sup>2</sup>/day), cyclophosphamide (300 or 500 mg/m<sup>2</sup>/day), and escalating

64 doses of the anti-CD52 monoclonal antibody, ALLO-647.

65 **Results:** As of September 26, 2024, 33 CD19 CAR T-naive patients with LBCL (median age, 66 years;

66 median number of prior therapies, 3) received allogeneic CAR T cells. CAR T cell expansion was observed

67 following infusion, with persistence observed up to 4 months. Overall and complete response rates were

68 58% and 42%, respectively; median duration of response in patients with a complete response was 23.1

69 months. The most common treatment-emergent adverse events were hematologic toxicities. No cases

70 of graft-versus-host disease, immune effector cell-associated neurotoxicity syndrome, or grade  $\geq$ 3

71 cytokine release syndrome were reported.

72 **Conclusion:** Allogeneic CD19 CAR T cells demonstrated promising overall and durable complete

response rates with a manageable safety profile in CD19 CAR T-naive patients with R/R LBCL, supporting

74 additional evaluation of cema-cel in patients with LBCL.

### 76 **INTRODUCTION**

77 Large B-cell lymphoma (LBCL) is the most common aggressive subtype of non-Hodgkin lymphoma 78 (NHL).<sup>1,2</sup> Although approximately 60% of patients with LBCL are cured with first-line regimens, many 79 patients have refractory disease or disease that relapses requiring additional treatment.<sup>3</sup> Historically, 80 prognosis for relapsed or refractory (R/R) LBCL has been poor, with median overall survival of 6.3 81 months and 20% of patients alive at 2 years.<sup>4</sup> Autologous CD19 chimeric antigen receptor (CAR) T cell 82 therapies have demonstrated significant clinical benefit for patients with R/R LBCL; however, many 83 patients are unable to receive these potentially life-saving therapies due to aggressive disease biology at 84 recurrence, difficulty in accessing specialized centers administering these therapies, and/or issues with product manufacturing.<sup>5-13</sup> Once a patient accesses a treatment center, manufacturing requires both 85 86 successful apheresis of T cells and approximately 4 to 6 weeks for production and delivery, which often 87 necessitates bridging therapy to slow disease progression.<sup>12-16</sup> 88 Allogeneic CAR T cell products manufactured from healthy donors may both achieve the benefits and overcome limitations of autologous CAR T-cell products.<sup>17</sup> Cemacabtagene ansegedleucel (cema-cel) and 89 90 its predecessor ALLO-501, which has the same CAR design as cema-cel except for the inclusion of a 91 rituximab recognition domain, are allogeneic CD19 CAR T-cell products gene-edited to reduce risk of 92 graft-versus-host disease (GvHD) and allow for selective lymphodepletion of host T cells with ALLO-647, a monoclonal anti-CD52 antibody.<sup>17-19</sup> Cema-cel/ALLO-501 is manufactured with a scalable process that 93 94 yields approximately 100 doses from a single production run, which are then cryopreserved for storage and ready for use on demand.<sup>20</sup> This enables immediate access to CAR T cells without the need for 95 96 adequate patient T cell count or fitness, leukapheresis, bridging therapy, or complex logistics associated with administering autologous CAR T-cell products.<sup>12-14,17,21</sup> 97

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98 Lymphodepletion regimens are critical for effective CD19 CAR T cell therapy, and regimens containing 99 fludarabine and cyclophosphamide are commonly used prior to cell therapy administration.<sup>22</sup> Compared 100 to autologous CAR T cells, allogeneic CAR T cells may require enhanced lymphodepletion to prevent 101 premature host-mediated rejection of CAR T cells.<sup>13</sup> While higher doses of cytotoxic chemotherapy may achieve deeper lymphodepletion,<sup>23</sup> chemotherapy-sparing strategies that selectively target host 102 103 lymphocytes could achieve the desired lymphodepletion while limiting collateral organ damage and 104 myelotoxicity. Inclusion of the anti-CD52 monoclonal antibody, ALLO-647, in the lymphodepletion 105 regimen is intended to selectively suppress host lymphocytes while sparing the CD52-knockout CAR T 106 cells of cema-cel/ALLO-501. This targeted strategy aims to create the necessary window for expansion 107 and tumor eradication without the accompanying toxicity associated with nonspecific cytotoxic agents.<sup>24</sup> 108 The ALPHA2 (NCT04416984) and ALPHA (NCT03939026) studies were initiated to evaluate cema-cel and 109 ALLO-501, respectively, following a standard fludarabine/cyclophosphamide lymphodepletion regimen 110 with ALLO-647 in patients with R/R NHL. Here, we report the phase 1 experience from the ALPHA2 and 111 ALPHA studies in CD19 CAR T-naive patients with R/R LBCL.

112

113 METHODS

114 Study Design

115 ALPHA2 is a single-arm, multicenter, open-label, phase 1/2 study evaluating the safety, efficacy, and

116 pharmacokinetics/pharmacodynamics (PK/PD) of cema-cel following ALLO-647–containing

117 lymphodepletion in patients with R/R NHL. ALPHA is a single-arm, multicenter, open-label, phase 1 study

evaluating the safety, efficacy, and PK/PD of ALLO-501 following ALLO-647–containing lymphodepletion

- in patients with R/R NHL. This report summarizes the evaluation of CD19 CAR T-naive patients with LBCL
- 120 from the phase 1 portion of these studies who received cema-cel/ALLO-501 manufactured with the
- 121 selected phase 2 manufacturing process (N=33; Figure 1). Due to product similarities of cema-cel and

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- 122 ALLO-501, these patients are combined in this analysis of safety, efficacy, and PK/PD. Human leukocyte
- 123 antigen (HLA) haplotype was not an eligibility consideration and no attempt was made to treat an
- 124 enrolled patient with a particular product lot based on the degree of HLA match.
- 125 Enrolled patients underwent 3-day lymphodepletion with fludarabine (30 mg/m<sup>2</sup>/day),
- 126 cyclophosphamide (300 or 500 mg/m<sup>2</sup>/day), and varying doses of ALLO-647 (**Supplemental Figure 1**). No
- 127 bridging therapy was permitted. Patients in the single dose cohorts received a single dose of cema-
- 128 cel/ALLO-501 (120 × 10<sup>6</sup> CAR+ cells) infused on Day 0. Patients in consolidation cohorts who attained a
- 129 complete response (CR), partial response (PR), or stable disease (SD) at the Day 28 disease assessment
- 130 were potentially eligible to receive an additional dose of cema-cel/ALLO-501 (120 × 10<sup>6</sup> CAR+ cells) on
- 131 Day 30 after a single 30 mg ALLO-647 dose administered on Day 29 (**Supplemental Figure 1**).
- 132 The primary objectives of the ALPHA2 and ALPHA phase 1 studies were to determine the maximum
- tolerated dose and to establish the recommended phase 2 dose regimen of cema-cel/ALLO-501 and
- 134 ALLO-647 through assessment of safety and tolerability. Secondary objectives included evaluating the
- 135 overall safety profile of the regimens as measured by adverse events (AEs), characterizing PK/PD of
- 136 cema-cel/ALLO-501 and ALLO-647, and assessing the efficacy of cema-cel/ALLO-501 in this patient
- 137 population via investigator-assessed overall response rate (ORR).
- 138

## 139 Patients

Eligible patients who had R/R LBCL (per 2017 World Health Organization criteria)<sup>25</sup> after ≥2 prior lines of
 chemotherapy, including an anti-CD20 monoclonal antibody and an anthracycline, were included in
 these studies. Patients must have had ≥1 measurable lesion per the revised International Working Group
 Response Criteria for Malignant Lymphoma,<sup>26</sup> Eastern Cooperative Oncology Group performance status
 0 or 1, and adequate organ function. Patients included in this analysis must have had no prior treatment

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with an anti-CD19 CAR T or other engineered adoptive cellular therapy. Additional eligibility criteria can
be found in the Supplemental Methods. The study was conducted in accordance with the International
Conference on Harmonization Guideline for Good Clinical Practice and the Declaration of Helsinki.<sup>27</sup> The
study protocol was approved by the Institutional Review Board or Independent Ethics Committee at
each center. All patients provided written informed consent.

150

#### 151 Assessments

152 Treatment-emergent AEs (TEAEs) were assessed as per the Common Terminology Criteria for Adverse 153 Events v 5.0 unless otherwise specified from the time of the first dose of any study drug until start of 154 another treatment period, death, or initiation of another anti-cancer agent, whichever came first. The 155 severities of cytokine release syndrome (CRS) / immune effector cell-associated neurotoxicity syndrome 156 (ICANS) and acute GvHD were assessed according to the grading schemes described by Lee et al and 157 Harris et al, respectively.<sup>28,29</sup> Patients underwent weekly monitoring for cytomegalovirus (CMV) 158 reactivation via polymerase chain reaction (PCR) testing for 2 months after treatment and then as 159 clinically indicated. 160 Responses were assessed per the Lugano 2014 criteria.<sup>30</sup> Tumor assessments were performed on Day 161 28, Day 56, Month 4, and every 3 months from Months 6 to 18, and every 6 months thereafter through 162 Month 60. Details of PK/PD assessments, biospecimen analysis, and HLA analyses are found in the 163 Supplemental Methods. 164

165 Statistical Analysis

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166	Descriptive statistics (N, arithmetic mean, standard deviation, minimum, median, maximum) were used
167	in PK analyses. Clopper Pearson exact intervals were used to calculate 95% confidence intervals for ORR
168	and CR. Kaplan-Meier methodology was used to estimate duration of response (DOR) rates, overall
169	survival rates, and associated median estimates.

- 170 The efficacy and safety population consisted of all enrolled patients who received cema-cel/ALLO-501.
- 171 Patients who died or withdrew prior to the first efficacy assessment were included in the analysis
- 172 population as nonresponders. The PK analysis population was defined as all treated patients with
- 173 sufficient information to estimate  $\geq$ 1 PK parameter of interest.
- 174
- 175 **RESULTS**
- 176 Patient Demographics and Baseline Characteristics
- 177 As of the data cutoff date (September 26, 2024), 87 patients with R/R NHL were treated in the
- 178 ALPHA2/ALPHA studies between May 2019 and September 2022. In total, 33 CD19 CAR T-naive patients
- 179 with R/R LBCL received cema-cel/ALLO-501 manufactured with the process selected for use in pivotal
- 180 studies (Figure 1). The median age of patients was 66 years (range, 31-76), and the median number of
- prior regimens was 3 (range, 2-8; Table 1). The median follow-up time (from patient end of study date
- 182 or data cutoff date) was 10.1 months (range, 0.4-62.7), with a minimum potential follow-up time (from
- 183 data cutoff date) of 24 months.
- 184 For these 33 CD19 CAR T-naive patients, the median time from enrollment to initiation of study
- treatment was 2 days. These patients received cumulative ALLO-647 doses of 39 mg (n=5), 60 mg (n=16;
- including patients that received consolidation [n=8]), and 90 mg (n=12) in addition to the
- 187 fludarabine/cyclophosphamide lymphodepletion regimen (Figure 1).

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188 The most common reasons for discontinuation from study were death (48%) and consent withdrawal189 (12%).

190

191 Safety

192 No ALLO-647 or cema-cel/ALLO-501 dose-limiting toxicities were reported. The most common any-grade 193 TEAEs (≥25%) were neutropenia (85%), anemia (67%), thrombocytopenia (58%), infusion-related 194 reactions (IRRs; 58%), fatigue (52%), and pyrexia (49%), nausea (39%), lymphopenia (36%), hypotension 195 (36%), peripheral edema (33%), decreased white blood cell count (30%), CMV reactivation (30%), 196 decreased appetite (30%), chills (30%), and hypoxia (27%; **Table 2**). Grade ≥3 TEAEs were reported in 197 94% of patients; the most common grade  $\geq$ 3 TEAEs ( $\geq$ 25%) were neutropenia (82%), anemia (46%), 198 thrombocytopenia (42%), lymphopenia (33%), and decreased white blood cell count (30%). 199 Among TEAEs of special interest, no cases of GvHD and ICANS were reported (Table 2). The incidence of 200 any-grade CRS was 24%, and no cases of grade  $\geq$ 3 CRS occurred. Grade  $\geq$ 3 IRRs occurred in 3 patients 201 (9%), all of which were considered related to ALLO-647. Any-grade infections occurred in 58% of 202 patients, and grade ≥3 infections were reported in 15% of patients. There were no fatal infections. The 203 most common any-grade infection was CMV reactivation, which occurred in 30% of patients; grade  $\geq$ 3 204 CMV reactivation occurred in 12% of patients (Supplemental Table 1). Opportunistic infections were 205 uncommon; these included fungal infection (6%; 1 case of oropharyngeal candidiasis, 1 unspecified 206 fungal infection [both grade 2]), and 1 case of BK virus infection (3% [grade 2]). There were no reported 207 cases of other opportunistic infections such as pneumocystis, mycobacterium avium complex, 208 tuberculosis, varicella zoster virus, or progressive multifocal leukoencephalopathy. The proportion of all 209 patients experiencing ongoing grade  $\geq$ 3 cytopenias decreased with time from treatment from 30% at Day 210 28 to 18% at Day 56 and Month 4 (Supplemental Table 2). The median time to absolute neutrophil count

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211	(ANC) and absolute lymphocyte count (ALC) recovery to grade ≤3 was 7 and 17.5 days, respectively
212	(n=30 and 22, respectively; Figures 2A and 2B). B cells were detectable starting 4 months after
213	treatment in responders, whereas T cell counts recovered to baseline between 6 and 9 months after
214	cema-cel/ALLO-501 infusion (Supplemental Figure 2). Hypogammaglobulinemia was reported in 5
215	patients (15%), 1 of whom received treatment with intravenous immunoglobulin.
216	Serious TEAEs were reported in 42% of patients; the most common (>5%) were IRRs to ALLO-647 (6%),
217	pyrexia (6%), bacteremia (6%), CMV reactivation (6%), pneumonia (6%), and COVID-19 (6%;
218	Supplemental Table 3).
219	Two patients (6%) with progressive disease had TEAEs that were considered the cause of death instead
220	of disease progression; the AEs were respiratory failure and torsade de pointes, respectively (n=1 each).
221	Neither event was considered related to cema-cel/ALLO-501 or ALLO-647.
222	
223	Efficacy
224	Overall response and CR were achieved in 58% (95% CI, 39.2-74.5) and 42% (95% CI, 25.5-60.8) of
225	patients, respectively (Table 3). For those patients receiving fludarabine/cyclophosphamide
226	lymphodepletion with 90 mg of ALLO-647 (FCA90) followed by a single dose of at least $120 \times 10^{6}$ CAR+
227	cells (ie, the selected phase 2 regimen), disease response was observed in 67% (95% CI, 34.9-90.1), and
228	CR was achieved in 58% (95% CI, 27.7-84.8). The ORR was higher in patients with baseline tumor burden
229	<1000 mm <sup>2</sup> sum of the products of longest diameters (SPD) vs > 1000 mm <sup>2</sup> SPD (100% vs 50%), baseline
230	lactate dehydrogenase (LDH) less than the upper limit of normal (ULN) vs greater than ULN (91% vs
231	41%), and double/triple hit vs no double/triple hit disease (75% vs 52%; Supplemental Figure 3A).
232	Similarly, the CR rate was higher for those patients with baseline tumor burden <1000 mm $^2$ SPD (100%
233	vs 31%, <i>P</i> =0.0033) and baseline LDH ≤ULN (82% vs 23%, <i>P</i> =0.0023; <b>Supplemental Figure 3B)</b> . Median

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durability of response (Figure 3A) was 11.1 months overall and 23.1 months in patients who achieved a
CR. Among patients who had a CR, most were durable (Figure 4A). One patient had a competing risk
event, death from an unrelated cardiac event at 22 months after treatment while in ongoing CR. Median
progression-free survival was 3.9 months overall and 24.0 months in patients achieving a CR (Figure 4B);
median overall survival was 14.4 months (95% CI, 7.0-not reached [NR]; Figure 3B) overall and NR in
patients achieving a CR. For patients receiving the selected phase 2 regimen, median DOR was 23.1
months, and median overall survival (OS) was NR (95% CI, 4.6-NR).

241

### 242 Translational Analyses

Analysis of cellular kinetics demonstrated that peak expansion was achieved at a median of 10 days.

244 Mean peak expansion (geometric standard error of the mean) was 1688 copies/µg and the mean area

245 under the curve (AUC) was 13531 copies/µg×d, with both peak expansion and mean AUC higher in

responders versus nonresponders (7410 vs 73, and 60480 vs 573), respectively (Figure 2C). The highest

247 mean concentration of ALLO-647 and greatest exposure (AUC) was observed in patients who received

the 90 mg cumulative dose of ALLO-647 (Supplemental Figure 4A), with responders typically achieving a

higher exposure of ALLO-647 than nonresponders (Supplemental Figure 4B). Higher exposure to ALLO-

250 647 was also associated with increased interleukin-15 at Day 0, which was consistent with the observed

cellular kinetics in recipients of higher doses of ALLO-647 (Supplemental Figure 4C).

252 CAR T product donor-specific antigen (DSA) testing was performed on patients during pre-screening

253 (N=33). Only 2 patients had a DSA value above a threshold of 1000 mean fluorescence intensity used to

determine DSA reactivity. One of these patients achieved a CR, and the other had progressive disease.

- 255 Retrospective HLA matching analyses did not show correlation with outcomes (data not shown). HLA
- 256 concordance was minimal, with no patients exceeding a 3/10 allelic match. Two responders had no

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allelic matches and yet achieved a PR and CR.

258

## 259 **DISCUSSION**

260 Despite improvement in outcomes for patients with R/R LBCL treated with CAR T cell therapy, numerous 261 limitations still exist for autologous CAR T cell products including patient eligibility, lengthy wait times 262 for product availability, inconsistent product quality, potential requirement for bridging therapy, and risk of severe toxicity following treatment.<sup>10-12,14</sup> As an off-the-shelf, allogeneic treatment option that 263 264 circumvents logistical challenges and the need for bridging therapy, cema-cel may address many of these limitations.<sup>12-14</sup> In the ALPHA and ALPHA2 trials, the median time to start of treatment was 2 days 265 266 from study enrollment; in contrast, autologous CAR T cell products require wait times often longer than 267 1 month despite incremental advancements in manufacturing and supply chains.<sup>31</sup> 268 In this single-arm, multicenter, open-label, phase 1 experience of the ALPHA2 and ALPHA studies, cema-269 cel/ALLO-501 following ALLO-647–containing lymphodepletion demonstrated an overall safety profile, 270 including the incidence of cytopenias and infections, that was manageable and consistent with that of 271 currently available autologous CD19 CAR T cell products, and no incidence of high-grade CRS or any-272 grade ICANS.<sup>5-7,32</sup> Furthermore, cema-cel/ALLO-501 showed promising ORR and CR rates in patients with 273 R/R LBCL, including ongoing remissions beyond 4 years. These results highlight the feasibility of 274 allogeneic CAR T cell products as a treatment option for patients with LBCL. 275 Currently 3 autologous CD19 CAR T cell products are approved for treatment of LBCL.<sup>5-9,32</sup> In the pivotal 276 trials leading to their approvals, autologous CAR T cells given to patients with R/R LBCL after 2 prior lines 277 of therapy demonstrated rates of grade  $\geq$ 3 ICANS of 10% to 32%, while grade  $\geq$ 3 CRS events ranged from 278 2% to 22%.<sup>6,7,32,33</sup> Among the 33 CAR T-naive patients with R/R LBCL in the ALPHA2/ALPHA studies, there

were no instances of ICANS or grade ≥3 CRS events, indicating potential benefits for this patient cohort

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within the current treatment landscape. Additionally, in the ALPHA2/ALPHA studies, there were no
reports of GvHD, and the incidence and severity of infections were manageable with supportive care
measures and consistent with that observed in autologous CAR T-cell therapy trials despite the use of
enhanced lymphodepletion with ALLO-647. Specific PCR monitoring for CMV identified low-grade,
asymptomatic viremia, but only 4 instances of grade 3 CMV reactivation, none of which was associated
with invasive disease. No fatal infections were reported in this patient population.

Recently, the United States Food and Drug Administration has raised concerns about the increased risk
 of T cell malignancies following autologous CAR T cell therapy.<sup>34</sup> Although the benefits of current
 autologous CAR T cell products likely outweigh these potential risks, allogeneic CAR T cell products may
 further mitigate these risks because the persistence of allogeneic CAR T cells in patients is limited by
 allorejection of the cells.<sup>5-7,32</sup>

291 CR rates in the ALPHA2/ALPHA trials were consistent with those observed with autologous CD19 CAR T 292 cell products for patients with R/R LBCL after 2 previous lines of therapy, even without HLA matching 293 patients to donors.<sup>5-7,32</sup> All treatment regimens studied demonstrated clinical benefit; however, FCA90 294 yielded the highest ORR and CR of 67% and 58%, respectively, with the majority of CRs lasting longer 295 than 12 months after a single dose of cema-cel/ALLO-501 and therefore was identified as the selected 296 phase 2 lymphodepletion regimen for use prior to cema-cel infusion. The median DOR was 23.1 months, 297 and the median OS was not reached for this subgroup of patients, demonstrating the durability of this 298 treatment and associated prolonged survival outcomes.

299 Consistent with the autologous CAR T experience,<sup>35</sup> durable responses required establishing a CR and 300 have been most frequent in patients with low disease burden. In the evaluated patients, all durable 301 responses were CRs, and the CR rate was enriched in patients with low disease burden (6/6; 100%) and 302 normal serum LDH concentrations (9/11; 82%) prior to treatment. These CR rates in the subpopulation

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of patients with low tumor burden and those with normal LDH supports cema-cel as a promisingtherapeutic option in a remission consolidation setting.

305 A growing body of evidence indicates that treatment with CAR T cells, at times when the disease burden 306 is low, leads to improved safety and efficacy outcomes, <sup>36-38</sup> and we report similar findings in the 307 ALPHA2/ALPHA studies (Supplemental Figure 3A). With autologous CAR T products approved for 308 relapsed disease, the practical ability to treat patients with low tumor burden is determined by 309 individual circumstances such as kinetics of disease recurrence and response to bridging therapy 310 administered during product manufacturing. Additionally, the sensitivity and specificity of positron 311 emission tomography (PET)/computed tomography (CT) for patients with very low disease burden after 312 they have completed a therapy is poor and thus currently requires delaying the decision to treat with 313 CAR T until clinical relapse. Recently, advances in circulating tumor (ct)DNA-based minimal residual 314 disease (MRD) tests have yielded improved sensitivity in detecting microscopic disease in patients who 315 are in clinical and metabolic complete remission by PET/CT. When detected in patients, MRD may be 316 eradicated with an immediately available, off-the-shelf treatment like cema-cel. The ongoing, pivotal 317 ALPHA3 study is exploring this hypothesis and is evaluating cema-cel in patients who achieve remission 318 for which the current standard-of-care would be observation but who remain MRD-positive by a novel ctDNA-based MRD diagnostic test, PhasED-Seq,<sup>39</sup> at the end of first-line therapy. As an off-the-shelf 319 320 treatment with a safety profile that is compatible with outpatient management and a preliminary 321 efficacy profile in R/R LBCL that is similar to available autologous therapies, a clinically meaningful 322 improvement in relapse prevention, measured in ALPHA3 by event-free survival, could fundamentally 323 alter the front-line treatment paradigm for newly diagnosed LBCL.

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325

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- 334 Final approval of manuscript: All authors
- Accountable for all aspects of the work: All authors

## **DATA SHARING STATEMENT**

337 The study protocol is provided in the Data Supplement. Individual participant data will not be available.

Question	Authors' Response
Will the data collected for your study be made available to others?	No
Would you like to offer context for your decision?	Cema-cel is not a registered product at this point.
Which data?	—
Additional information about data	—
How or where can the data be obtained?	
When will data availability begin?	
When will data availability end?	_
Will any supporting documents be available?	No
Which supporting documents?	—

Additional information about supporting documents	_
How or where can supporting documents be obtained?	
When will supporting documents availability begin?	
When will supporting documents availability end?	_
To whom will data be available?	—
For what type of analysis or purpose?	—
By what mechanism?	—
Any other restrictions?	_
Additional information	_

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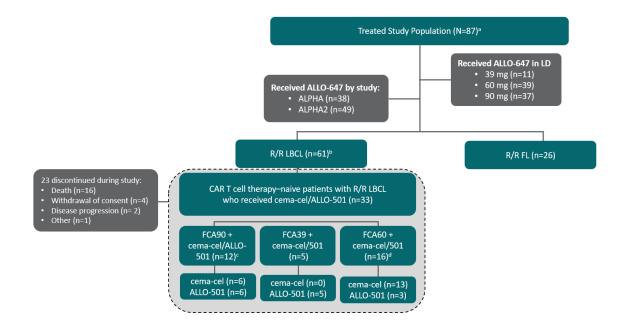
#### 449 **FIGURE LEGENDS**

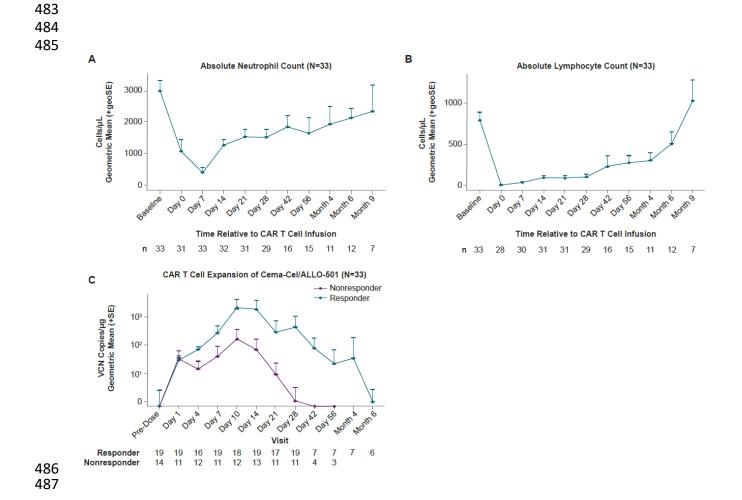
- 450 Figure 1. CONSORT Diagram. <sup>a</sup> All enrolled patients who received any study drug. <sup>b</sup> Includes grade 3b FL,
- 451 patients with R/R LBCL who received prior CD19 CAR T-cell therapy, and patients who did not receive
- 452 cema-cel/ALLO-501 manufactured with the selected phase 2 process. <sup>c</sup> Designates the selected phase 2
- 453 lymphodepletion process. <sup>d</sup> Includes consolidation.
- 454 CAR, chimeric antigen receptor; cema-cel, cemacabtagene ansegedleucel; FCA,
- 455 fludarabine/cyclophosphamide/ALLO-647; FL, follicular lymphoma; LBCL, large B-cell lymphoma; LD,
- 456 lymphodepletion; R/R relapsed/refractory.
- 457
- 458 **Figure 2:** Immune Recovery of Leukocyte Counts Over Time CAR T Cell Expansion (A) ANC over time; (B)
- 459 ALC over time; and (C) CAR T cell expansion of cema-cel/ALLO-501 by responders versus nonresponders.
- 460 ALC, absolute lymphocyte count; ANC, absolute neutrophil count; CAR, chimeric antigen receptor; cema-
- 461 cel, cemacabtagene ansegedleucel; geoSE, geometric standard error; SE, standard error; VCN, vector
- 462 copy number.
- 463
- 464 Figure 3. Duration of Response and Overall Survival. (A) Duration of response in patients achieving CR,
- 465 PR, and in overall population and (B) Overall survival in patients achieving CR, PR, and in overall
- 466 population.
- 467 CR, complete response; DOR, duration of response; NE, not estimable; OS, overall survival; PR, partial468 response.
- 469
- 470 **Figure 4:** Response and Progression-Free Survival. (A) Swimmer plot of all patients and (B) Progression-
- 471 free survival in patients achieving CR, PR, and in overall population.
- <sup>a</sup> FCA90 and FCA90/FCA60 (ALC-based) groups. <sup>b</sup> FCA60 + consolidation (LD followed by a single dose of
- 473 cema-cel/ALLO-501 and then an additional dose of ALLO-647 on D29 and ALLO-501/cema-cel on D30).
- 474 Cema-cel, cemacabtagene ansegedleucel; CR, complete response; D, day; FCA, fludarabine,
- 475 cyclophosphamide, ALLO-647; LD, lymphodepletion; NE, not estimable; PFS, progression-free survival;
- 476 PR, partial response; PD, progressive disease; SD, stable disease.

# 

**FIGURES** 

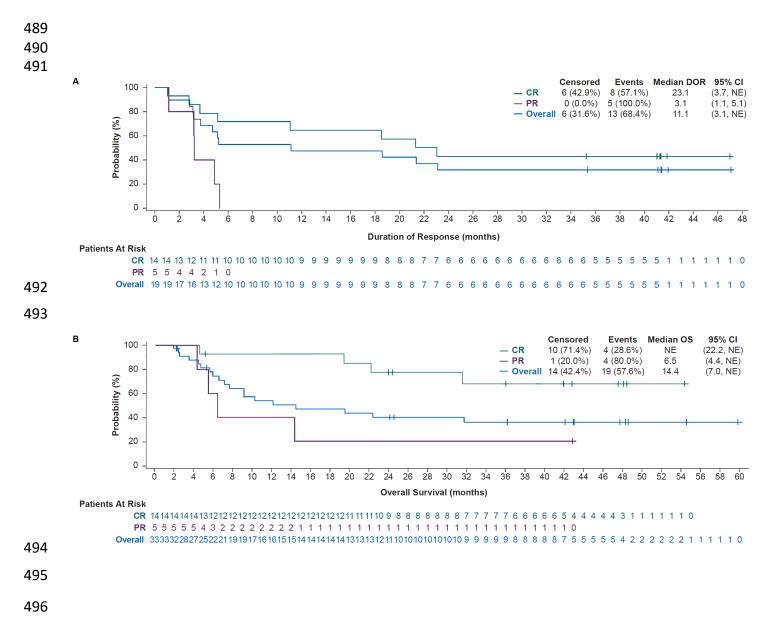
## 480 Figure 1. CONSORT Diagram





## 482 Figure 2. Immune Recovery of Leukocyte Counts Over Time CAR T Cell Expansion





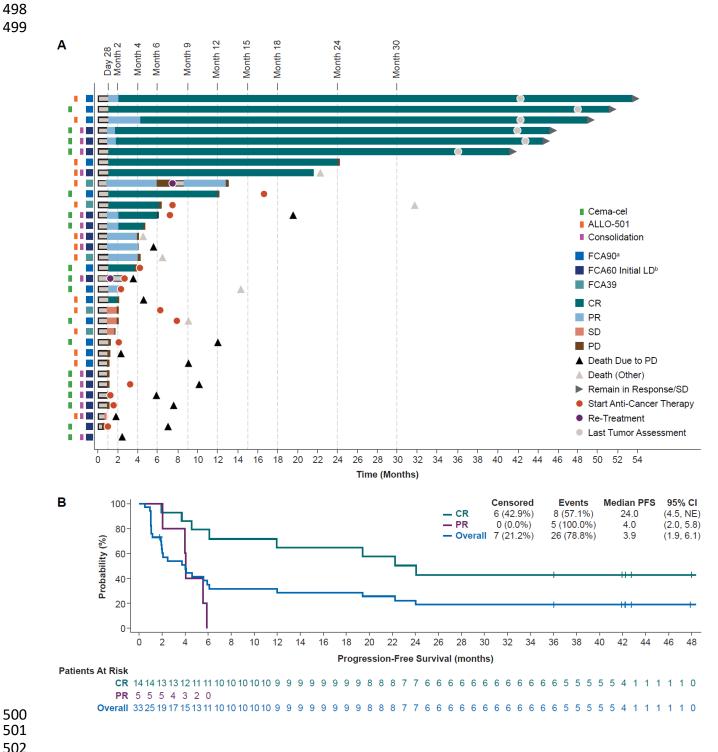


Figure 4. Response and Progression-Free Survival

## 505 **TABLES**

## 506 **Table 1.** Patient Demographics and Baseline Characteristics

	CAR T-N	CAR T-Naive R/R LBCL		
	All patients (N=33)	Patients who received selected phase 2 dose <sup>a</sup> (n=12)		
Age				
Median (range)	66 (31;76)	60 (31;75)		
≥ 65 y, n (%)	17 (52)	5 (42)		
Male, n (%)	23 (70)	6 (50)		
Race, n (%)				
White	25 (76)	9 (75)		
African American	2 (6)	1 (8)		
Asian	1 (3)	0 (0)		
Other <sup>b</sup>	0 (0)	0 (0)		
Not reported	5 (15)	2 (17)		
BMI, median (range)	28 (20;57)	28 (20;44)		
ECOG PS, n (%)				
0	7 (21)	1 (8)		
1	26 (79)	11 (92)		
Baseline LDH, n (%)				
> ULN	22 (67)	8 (67)		
>2x ULN	7 (21)	1 (8)		
Baseline SPD mm <sup>2</sup>				
Mean (SD)	3399 (2878)	2410 (2173)		
Median (range)	2487 (221-11154)	1711 (221-6740)		
Prior therapies				
Median (range)	3 (2-8)	3 (2-5)		
HSCT, n (%)	7 (21)	6 (50)		

<sup>a</sup> Fludarabine/cyclophosphamide lymphodepletion with 90 mg of ALLO-647 (FCA90) followed by a single

dose of CAR T cells at 120 x 10<sup>6</sup> CAR+ cells. <sup>b</sup> American Indian/Alaska Native or Native Hawaiian/Pacific
 Islander.

510 BMI, body mass index; CAR, chimeric antigen receptor; ECOG PS, Eastern Cooperative Oncology Group

511 performance status; HSCT, hematopoietic stem cell transplantation; LBCL, large B-cell lymphoma; LDH,

512 lactate dehydrogenase; R/R relapsed/refractory; SD, standard deviation, SPD, sum of the products of

513 longest diameters; ULN, upper limit of normal.

514

		CAR T-Naive R/R LBCL			
N (%)	All patie	All patients (N=33)		Patients who received selected phase 2 dose <sup>a</sup> (n=12)	
	Any Grade	Grade ≥3	Any Grade	Grade ≥3	
Any AE	33 (100)	31 (94)	12 (100)	11 (92)	
Neutropenia	28 (85)	27 (82)	10 (83)	10 (83)	
Anemia	22 (67)	15 (46)	8 (67)	7 (58)	
Thrombocytopenia	19 (58)	14 (42)	7 (58)	5 (42)	
IRR	19 (58)	3 (9)	8 (67)	0 (0)	
Fatigue	17 (52)	1 (3)	6 (50)	0 (0)	
Pyrexia	16 (49)	1 (3)	6 (50)	0 (0)	
Nausea	13 (39)	2 (6)	6 (50)	0 (0)	
Lymphopenia	12 (36)	11 (33)	6 (50)	5 (42)	
Hypotension	12 (36)	4 (12)	2 (17)	0 (0)	
Peripheral edema	11 (33)	0 (0)	3 (25)	0 (0)	
WBC count decreased	10 (30)	10 (30)	4 (33)	4 (33)	
CMV reactivation	10 (30)	4 (12)	4 (33)	1 (8)	
Decreased appetite	10 (30)	1 (3)	6 (50)	0 (0)	
Chills	10 (30)	0 (0)	4 (33)	0 (0)	
Нурохіа	9 (27)	4 (12)	2 (17)	0 (0)	
Hypokalemia	8 (24)	3 (9)	2 (17)	0 (0)	
Diarrhea	8 (24)	2 (6)	4 (33)	0 (0)	
CRS	8 (24)	0 (0)	4 (33)	0 (0)	
Constipation	8 (24)	0 (0)	4 (33)	0 (0)	
Cough	7 (21)	0 (0)	3 (25)	0 (0)	
Hypocalcemia	7 (21)	2 (6)	1 (8)	0 (0)	

**Table 2**. Most Common Any-Grade TEAEs and Grade ≥3 Incidence (≥20% Any Grade in All Patients)

518 <sup>a</sup> Fludarabine/cyclophosphamide lymphodepletion with 90 mg of ALLO-647 (FCA90) followed by a single

519 dose of CAR T cells at  $120 \times 10^6$  CAR+ cells.

520 AE, adverse event; CAR, chimeric antigen receptor; CMV, cytomegalovirus; CRS, cytokine release

521 syndrome; IRR, infusion-related reaction; LBCL, large B-cell lymphoma; R/R, relapsed/refractor; WBC,

522 white blood cell.

	CAR T-I	CAR T-Naive R/R LBCL		
	All patients (N=33)	Patients who received selected phase 2 dose <sup>a</sup> (n=12)		
Best overall response				
Complete response, n (%)	14 (42)	7 (58)		
Complete response at 6 months	10 (30)	5 (42)		
Complete response at 12 months	8 (24)	4 (33)		
Partial response, n (%)	5 (15)	1 (8)		
Stable disease, n (%)	4 (12)	1 (8)		
Progressive disease/death, n (%)	10 (30)	3 (25)		
Overall response rate				
Overall response rate, n (%)	19 (58)	8 (67)		
95% CI	(39, 75)	(35, 90)		
Median duration of response, months (95% CI)	11.1 (3.1, NR)	23.1(1.0, NR)		
Overall survival, months (95% CI)	14.4 (7.0, NR)	NR (4.6, NR)		

524 <sup>a</sup> Fludarabine/cyclophosphamide lymphodepletion with 90 mg of ALLO-647 (FCA90) followed by a single

525 dose of CAR T cells at  $120 \times 10^6$  CAR+ cells.

526 CAR, chimeric antigen receptor; LBCL, large B-cell lymphoma; NR, not reached; R/R, relapsed/refractory.